



QUALIFIED ELIGIBLE PERSON (QEP) AFFIDAVIT AND ACCREDITED INVESTOR REQUIREMENT AND RISK DISCLOSURE STATEMENT

Trading foreign exchange on margin carries a high level of risk, and may not be suitable for all investors. Any account opened with Automata FX Ltd. involves the trading of foreign currencies and therefore may involve a substantial degree of risk. Such transactions are intended for sophisticated investors who understand risk. This is not an offer to sell securities. This is intended for Qualified Eligible Persons only. Please see the Risk Disclosure Statement at the end of this document.

You hereby represent and warrant that you are (or if you are subscribing to the services of Automata FX Ltd. on behalf of another person, that person is) (such person, "Account Holder") a "qualified eligible person" ("QEP") within the meaning of CFTC Rule 4.7 under the Commodity Exchange Act (the "CEA") because:

Please initial the box next to any/all items that apply

Account Holder satisfies one or more of the following:

Initial

- Owns securities and other investments with an aggregate market value of at least \$2,000,000 (excluding interests in issuers with whom Account Holder is affiliated).
- Has, or has had, on deposit for its own account with a futures commission merchant at any time during the preceding six months, \$200,000 or more in exchange-specified initial margin and option premiums for commodity interest transactions, together with required minimum security deposit for Retail Forex Transactions (as defined below).
- Owns a portfolio comprised of a combination of the investments specified in (a) above and the margin and premium specified in (b) above such that the sum of the combination equals, as a percentage of each of the minimum required investments and margin and option premiums, at least 100% (e.g., investments of \$1,000,000 (50%) and margin and option premiums of \$100,000 (50%))

And:

Account Holder is one of the following:

- An individual, an IRA, a Keogh Plan covering only a self-employed individual, or a self-directed account of a one member retirement plan whose beneficial owner, is an Accredited Investor described below.
- A corporation, a business trust, a partnership, limited liability company or similar business venture, other than a commodity pool, with total assets in excess of \$5,000,000.

A bank, savings and loan association, broker, dealer, insurance company, registered investment company, business development company or private business development company (as such terms are defined under applicable sections of the 1933 Act, the 1934 Act, the 1940 Act, the Advisers Act, as amended or Small Business Investment Act of 1958).

An organization described in Section 501(c)(3) of the Code, with total assets in excess of \$5,000,000.

An employee benefit plan within the meaning of ERISA so long as (i) the investment decisions are made by a plan fiduciary (as defined in 3(21) of ERISA) that is a bank, savings and loan association, insurance company, or registered investment adviser, or (ii) the plan has total assets in excess of \$5,000,000, or (iii) the plan is self-directed and its investment decisions are made by a person who is a QEP.

A pool, trust, insurance company separate account or bank collective trust, (i) that has total assets in excess of \$5,000,000, and (ii) whose investment is directed by a QEP.

A government entity (including the United States, a State, or a foreign government) or political subdivision thereof, or a multinational or supranational entity or an instrumentality, agency, or department of any of the foregoing, except for (i) plans established and maintained by a State, its agencies or subdivisions for the benefit of employees, with total assets which do not exceed \$5,000,000, or (ii) plans which are not otherwise authorized by law to engage in such transactions.

A plan established and maintained by a state, its political subdivisions, or any agency or instrumentality of a state of its political subdivisions, for the benefit of its employees, if the plan has total assets in excess of \$5,000,000.

Or:

Account Holder is one or more of the following:

A futures commission merchant registered pursuant to section 4d of the CEA, or a Principal (defined below) of such futures commission merchant.

A broker or dealer registered pursuant to section 15 of the Securities Exchange Act of 1934, or a Principal of such broker or dealer.

A commodity pool operator ("CPO") registered pursuant to section 4m of the CEA that (i) has been registered and active as such for two years or (ii) operates pools which, in the aggregate, have total assets in excess of \$5,000,000, or a Principal of such a commodity pool operator.

A commodity trading advisor registered pursuant to section 4m of the CEA that (i) has been registered and active as such for two years or (ii) provides commodity interest trading advice to commodity accounts which, in the aggregate, have total assets in excess of \$5,000,000 deposited at one or more futures commission merchants, or a Principal of such a commodity trading advisor.

An investment adviser, registered pursuant to section 203 of the Investment Advisers Act of 1940 or the laws of any state, that (i) has been registered and active as such for two years or (ii) provides securities

investment advice to securities accounts which, in the aggregate, have total assets in excess of \$5,000,000 deposited at one or more registered securities brokers, or a Principal of such an investment adviser.

A "qualified purchaser" as defined in section 2(a)(51)(A) of the 1940 Act.

A "Manager QEP."

A trust so long as (i) the trustee or other person authorized to make investment decisions with respect to the trust is a QEP, and (ii) each settlor or other person who has contributed assets to the trust is a QEP.

An organization described in section 501(c)(3) of the Code, so long as the trustee or other person authorized to make investment decisions with respect to the organization, and the person who has established the organization, is a QEP.

A retail foreign exchange dealer registered pursuant to section 2(c)(2)(B)(i)(II)(gg) of the CEA, or a Principal thereof.

An entity in which all of the unit owners or participants are QEPs.

ACCREDITED INVESTOR DEFINITION & CERTIFICATION

You hereby represent and warrant that you are (or if you are subscribing to the services of Automata FX Ltd. on behalf of another person, that person is) (such person, "Account Holder") an "accredited investor" ("Accredited Investor") because Subscriber meets one or more of the requirements in paragraphs below:

- An individual who has, or an Individual Retirement Account ("IRA"), a Keogh plan covering only self-employed individuals or a self-directed account of a retirement plan whose beneficial owner has, a net worth, or joint net worth with that person's spouse, in excess of \$1,000,000 (excluding the value of the primary residence of such individual).
- An individual who has, or an IRA, a Keogh plan covering only self-employed individuals or a self-directed account of a retirement plan whose beneficial owner has, an income in excess of \$200,000 in each of the two most recent years or joint income with that person's spouse in excess of \$300,000 in each of those years and who reasonably expects an income of the same income level in the current year.
- A corporation, a business trust, a partnership, a limited liability company or similar organization with total assets in excess of \$5,000,000.
- An entity in which all of the equity owners are Accredited Investors under Rule 501 of Regulation D ("Regulation D") under the Securities Act of 1933, as amended (the "1933 Act").
- A trust with total assets in excess of \$5,000,000 the investments of which are directed by a person with knowledge and expertise in financial and business matters, as described in Rule 506(b)(2)(ii) of Regulation D.

- A bank, savings and loan association, broker, dealer, insurance company, investment company, business development company, licensed small business investment company or private business development company (as such terms are defined under applicable sections of the 1933 Act, the Securities Exchange Act of 1934, as amended (the "1934 Act") the Investment Company Act of 1940, as amended (the "1940 Act"), the Investment Advisers Act of 1940, as amended (the "Advisers Act") or the Small Business Investment Act of 1958).
- An employee benefit plan within the meaning of the Employee Retirement Income Security Act of 1974, as amended ("ERISA") the investment decisions of which are made by a Plan fiduciary, as defined in Section 3(21) of ERISA, which is either a bank, savings and loan association, insurance company or registered investment adviser, or if the plan has total assets in excess of \$5,000,000.
- An employee benefit plan within the meaning of ERISA or a plan established and maintained by a state or its political subdivisions or any agency or instrumentality of a state or its political subdivisions for the benefit of its employees, in each case with total assets in excess of \$5,000,000.
- An employee benefit plan subject to ERISA that is self-directed and the participant directing the plan's investments is a person who is an "Accredited Investor"
- An organization described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") with total assets in excess of \$5,000,000.

High Risk Investment Warning: Trading foreign exchange on margin carries a high level of risk, and may not be suitable for all investors. The possibility exists that you could sustain a loss in excess of your deposited funds and therefore, you should not speculate with capital that you cannot afford to lose. Before deciding to trade using the products offered by Automata FX Ltd. you should carefully consider your objectives, financial situation, needs and level of experience. You should be aware of all the risks associated with trading on margin. Automata FX Ltd. provides general advice that does not take into account your objectives, financial situation, or needs. The content of this document or any materials disseminated by Automata FX Ltd. including this document must not be construed as personal advice. Automata FX Ltd. recommends you seek advice from a separate financial advisor if you have any doubts.

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ACCOUNT OWNER SIGNATURE	PRINT NAME	DATE
		/ /
SPOUSE/JOINT ACCT. OWNER SIGNATURE (IF APPLICABLE)	PRINT NAME	DATE